

# \*-GENERALIZED POLYNOMIAL IDENTITIES OF FINITE DIMENSIONAL CENTRAL SIMPLE ALGEBRAS<sup>†</sup>

BY  
JERRY D. ROSEN

## ABSTRACT

Let  $D$  be a finite dimensional central simple algebra with involution  $*$  of the first kind. We prove (in a fixed number of variables) the ideal of  $*$ -GPI's of  $D$  is generated by a finite collection of elements of the form  $[v_{ij}, v_{pq}]$  and  $v_{ij} - v_{ij}^*$  where the  $v_{ij}$ 's are first degree generalized polynomials.

Much of the work in this article was influenced by a result of Procesi [2], [3].

Let  $D$  denote a finite dimensional central simple algebra with involution  $*$  of the first kind over an infinite field  $K$ . Let  $X$  be the set consisting of the  $2m$  noncommuting indeterminates  $x_1, \dots, x_m, z_1, \dots, z_m$ . Form the ring  $R = D_K\langle X \rangle$  which is the free product of  $D$  with the free noncommutative  $K$ -algebra  $K\langle X \rangle$ . The elements of  $R$  can be written as  $\sum \alpha_j d_{i_0} y_{j_1} d_{i_1} \cdots y_{j_m} d_{i_m}$  where  $y_{j_k} \in X$ ,  $\alpha_j \in K$ , and  $d_{i_p} \in D$ . The involution  $*$  on  $D$  may be extended to an involution on  $R$ , also denoted  $*$ , as follows:  $d \rightarrow d^*$  for  $d \in D$ ,  $x_i \rightarrow z_i$  and  $z_i \rightarrow x_i$ ,  $i = 1, \dots, m$ .

Set  $S = \{(d_1, \dots, d_m, d_1^*, \dots, d_m^*) \mid d_i \in D\}$ .

DEFINITION.  $f(x_1, \dots, x_m, z_1, \dots, z_m) \in R$  is a  $*$ -generalized polynomial identity ( $*$ -GPI) of  $D$  if  $f(p) = 0$  for all  $p \in S$ .

Let  $D^S$  denote the set of all functions from  $S$  into  $D$ .  $D^S$  is made into a ring under pointwise operations. Any  $f \in R$  induces a function in  $D^S$  as follows: define  $\phi_f : S \rightarrow D$  by

$$(d_1, \dots, d_m, d_1^*, \dots, d_m^*) \rightarrow f(d_1, \dots, d_m, d_1^*, \dots, d_m^*).$$

<sup>†</sup> This paper is a portion of the author's Ph.D. dissertation, "Generalized rational identities and rings with involution," written under the direction of Wallace S. Martindale, 3rd.

This research was partially supported by N.S.F. Grant MCS 81-02675.

Received October 27, 1982 and in revised form March 3, 1983

This gives rise to a homomorphism  $\phi : R \rightarrow D^s$ , namely  $f \rightarrow \phi_f$ .  $\text{Ker } \phi$  is equal to the ideal of  $*$ -GPI's of  $D$ . We will show  $\text{Ker } \phi$  is finitely generated and determine a collection of generators.

If  $a \in D$ , let  $a_r$  and  $a_l$  denote respectively the right and left multiplications determined by  $a$ .  $a_r$  and  $a_l$  belong to the ring  $\text{End}_K D$  of all  $K$ -linear transformations on  $D$ . We make  $\text{End}_K D$  into a  $D$ -bimodule via  $\sigma \cdot a = \sigma a_r$ , and  $a \cdot \sigma = \sigma a_l$  for all  $\sigma \in \text{End}_K D$  and  $a \in D$  (applying maps on the right).

**DEFINITION.** The *opposite ring*  $D^0$  of  $D$  is the ring having the same additive structure as  $D$  but multiplication in reverse order (i.e., the product of  $a$  and  $b$  in  $D^0$  is  $ba$ ).

We make  $D^0$  into a  $D$ -bimodule by giving it the same structure as  $D$ . The mapping  $D^0 \otimes_K D \rightarrow \text{End}_K D$  given by  $\sum a_i \otimes b_i \rightarrow \sum a_i b_i$  is a ring homomorphism as well as a  $D$ -bimodule mapping. Since  $D^0 \otimes_K D$  is simple ( $D$  being central simple over  $K$ ), this map is one-to-one. Checking dimensions over  $K$ , we see that it is onto and hence a  $D$ -bimodule isomorphism. We now have the following sequence of  $D$ -bimodule isomorphisms:

$$(1) \quad \begin{aligned} D \otimes_K D &\rightarrow D^0 \otimes_K D \rightarrow \text{End}_K D, \\ \sum a_i \otimes b_i &\rightarrow \sum a_i \otimes b_i \rightarrow \sum a_i b_i. \end{aligned}$$

The following maps are easily seen to be  $D$ -bimodule isomorphisms:

$$(2) \quad \begin{aligned} D \otimes_K D &\rightarrow Dx_i D, \\ \sum_j a_j \otimes b_j &\rightarrow \sum_j a_j x_i b_j, \quad i = 1, \dots, m; \end{aligned}$$

$$(3) \quad \begin{aligned} D \otimes_K D &\rightarrow Dz_i D, \\ \sum_j a_j \otimes b_j &\rightarrow \sum_j a_j z_i b_j, \quad i = 1, \dots, m. \end{aligned}$$

Combining (1), (2), and (3), we obtain the following  $D$ -bimodule isomorphisms:

$$(4) \quad \begin{aligned} Dx_i D &\rightarrow D^0 \otimes_K D \rightarrow \text{End}_K D, \\ \sum_j a_j x_i b_j &\rightarrow \sum_j a_j \otimes b_j \rightarrow \sum_j a_j b_j, \end{aligned}$$

$$(5) \quad \begin{aligned} Dz_i D &\rightarrow D^0 \otimes_K D \rightarrow \text{End}_K D, \\ \sum_j a_j z_i b_j &\rightarrow \sum_j a_j \otimes b_j \rightarrow \sum_j a_j b_j. \end{aligned}$$

Suppose  $\{u_1, \dots, u_n\}$  is a  $K$ -basis of  $D$ . Write  $D^\#$  for the dual space  $\text{Hom}_K(D, K) \subset \text{End}_K D$ . Let  $\{u_1^\#, \dots, u_n^\#\}$  be the corresponding dual basis of  $D^\#$ .  $\{u_1^*, \dots, u_n^*\}$  is also a  $K$ -basis for  $D$  and let  $\{(u_1^*)^\#, \dots, (u_n^*)^\#\}$  be the corresponding dual basis. If  $d \in D$ , then  $d = \sum_{k=1}^n \alpha_k u_k^*$  for  $\alpha_k \in K$ . Therefore  $d(u_j^*)^\# = \alpha_j = d^* u_j^\#$ . Hence  $(u_j^*)^\# = * u_j^\#, j = 1, \dots, n$ .

Since  $u_j^\#, (u_j^*)^\# \in \text{End}_K D$ , then (1) implies

$$(6) \quad u_j^\# = \sum_{\alpha=1}^n (a_{j\alpha})_l (u_\alpha)_r$$

and

$$(7) \quad (u_j^*)^\# = \sum_{\alpha=1}^n (u_\alpha^*)_l (b_{j\alpha})_r$$

for  $a_{j\alpha}, b_{j\alpha} \in D$  and  $j = 1, \dots, n$ . By (4) and (5), we have:

$$(8) \quad \sum_{\alpha=1}^n a_{j\alpha} x_i u_\alpha \rightarrow \sum_{\alpha=1}^n a_{j\alpha} \otimes u_\alpha \rightarrow \sum_{\alpha=1}^n (a_{j\alpha})_l (u_\alpha)_r = u_j^\#,$$

$$(9) \quad \sum_{\alpha=1}^n u_\alpha^* z_i b_{j\alpha} \rightarrow \sum_{\alpha=1}^n u_\alpha^* \otimes b_{j\alpha} \rightarrow \sum_{\alpha=1}^n (u_\alpha^*)_l (b_{j\alpha})_r = (u_j^*)^\#,$$

where  $1 \leq i \leq m$  and  $1 \leq j \leq n$ .

LEMMA. 1.  $b_{j\alpha} = a_{j\alpha}^*$ .

PROOF.  $(u_j^*)^\# = * u_j^\#$  implies that for all  $d \in D, d^*(u_j^*)^\# = d u_j^\#$ . Using (6) and (7), this can be written as

$$(A) \quad \sum_{\alpha=1}^n u_\alpha^* d^* b_{j\alpha} = \sum_{\alpha=1}^n a_{j\alpha} d u_\alpha.$$

Since  $(u_j^*)^\# \in D^\#, d^*(u_j^*)^\# = \sum_{\alpha=1}^n u_\alpha^* d^* b_{j\alpha} \in K$  for all  $d \in D$ .  $*$  an involution of the first kind implies

$$\sum_{\alpha=1}^n u_\alpha^* d^* b_{j\alpha} = \left( \sum_{\alpha=1}^n u_\alpha^* d^* b_{j\alpha} \right)^* = \sum_{\alpha=1}^n b_{j\alpha}^* d u_\alpha.$$

From (A), we obtain:

$$\sum_{\alpha=1}^n a_{j\alpha} d u_\alpha = \sum_{\alpha=1}^n b_{j\alpha}^* d u_\alpha \quad \text{for all } d \in D.$$

Hence  $\sum_{\alpha=1}^n (a_{j\alpha} - b_{j\alpha}^*)_l (u_\alpha)_r = 0$ . Applying (1), we have

$$\sum_{\alpha=1}^n ((a_{j\alpha} - b_{j\alpha}^*) \otimes u_\alpha) = 0.$$

By the independence of  $\{u_1, \dots, u_n\}$ , we obtain  $b_{j\alpha} = a_{j\alpha}^*$ . □

Set  $v_{ij} = \sum_{\alpha=1}^n a_{j\alpha} x_i u_\alpha$  and  $w_{ij} = \sum_{\alpha=1}^n u_\alpha^* z_i a_{j\alpha}^*$ . Note that  $w_{ij} = v_{ij}^*$ , where  $*$  is the involution on  $R$ .

LEMMA 2. *The  $v_{ij}$ 's and  $w_{ij}$ 's commute with  $D$ .*

PROOF. For each  $i = 1, \dots, m$ , let  $\psi_i : Dx_i D \rightarrow \text{End}_K D$  be the map defined in (4).  $\psi_i$  is a  $D$ -bimodule isomorphism and  $u_j^* = v_{ij} \psi_i$ . For any  $a \in D$ ,  $a(u_j^* d_i) = d(a u_j^*) = (a u_j^*) d$  (since  $a u_j^* \in K$ ) =  $a(u_j^* d_i)$ . Hence  $d \cdot u_j^* = u_j^* \cdot d$ . For any  $d \in D$ ,  $(d v_{ij}) \psi_i = d \cdot (v_{ij} \psi_i) = d \cdot u_j^* = u_j^* \cdot d = (v_{ij} \psi_i) \cdot d = (v_{ij} d) \psi_i$ . Therefore  $d v_{ij} = v_{ij} d$ .

Now  $d w_{ij} = d v_{ij}^* = (v_{ij} d^*)^* = (d^* v_{ij})^* = v_{ij}^* d = w_{ij} d$ . Hence the  $v_{ij}$ 's and  $w_{ij}$ 's commute with  $D$ . □

LEMMA 3. *For  $i = 1, \dots, m$ ,  $Dx_i D = \sum_{j=1}^n v_{ij} D$  and  $Dz_i D = \sum_{j=1}^n w_{ij} D$ .*

PROOF. Since  $v_{ij} \in Dx_i D$ , the inclusion  $\sum_{j=1}^n v_{ij} D \subseteq Dx_i D$  is clear. By (4),  $Dx_i D \cong \text{End}_K D$  (as  $D$ -bimodules).  $\text{End}_K D$  is (freely) generated as a  $D$ -bimodule by the  $u_j^*$ 's; hence  $Dx_i D$  is (freely) generated as a  $D$ -bimodule by the set  $\{v_{ij} \mid 1 \leq j \leq n\}$ . In particular,  $x_i = \sum_{j=1}^n v_{ij} d_j$  for  $d_j \in D$ . Thus for any  $a, b \in D$ ,  $a x_i b = \sum_{j=1}^n a v_{ij} d_j b = \sum_{j=1}^n v_{ij} a d_j b$ . Hence  $Dx_i D \subseteq \sum_{j=1}^n v_{ij} D$  and we have equality.

Now  $Dz_i D = Dx_i^* D = (\sum_{j=1}^n v_{ij} D)^* = \sum_{j=1}^n D w_{ij} = \sum_{j=1}^n w_{ij} D$ . □

Let  $D\langle v_{ij}, w_{ij} \rangle$  be the subring of  $R$  generated by  $D$ , the  $v_{ij}$ 's, and the  $w_{ij}$ 's. Lemma 3 implies  $R = D\langle v_{ij}, w_{ij} \rangle$ . So  $\phi : D\langle v_{ij}, w_{ij} \rangle \rightarrow D^S$ . Set  $\xi_{ij} = v_{ij} \phi$  and  $\zeta_{ij} = w_{ij} \phi$ . Now  $0 = 0 \phi = [d, v_{ij}] \phi = [d, \xi_{ij}]$ . Hence  $\xi_{ij}$  maps  $S$  into  $K$ . Therefore,  $\xi_{ij} = \xi_{ij}^* = v_{ij}^* \phi = w_{ij} \phi = \zeta_{ij}$ . It follows that the image of  $\phi$  must be generated as a ring by  $D$  and  $\xi_{ij}$ .

LEMMA 4. *The  $\xi_{ij}$ 's are algebraically independent.*

PROOF. Let  $\{t_{ij} \mid 1 \leq i \leq m, 1 \leq j \leq n\}$  be a collection of commuting indeterminates. Suppose  $f(t_{11}, \dots, t_{mn}) \in D[t_{11}, \dots, t_{mn}]$  (the polynomial ring in the  $t_{ij}$ 's with coefficients from  $D$ ) and  $f(\xi_{11}, \dots, \xi_{mn}) = 0$ . Choose  $\beta_{11}, \dots, \beta_{mn} \in K$  and for each  $k = 1, \dots, m$ , set  $d_k = \sum_{\lambda=1}^n \beta_{k\lambda} u_\lambda$  and let  $p = (d_1, \dots, d_m, d_1^*, \dots, d_m^*) \in S$ . Now  $u_j^* = \sum_{\alpha=1}^n (a_{j\alpha})_1 (u_\alpha)_1$  implies

$$\beta_{ij} = \left( \sum_{\lambda=1}^n \beta_{i\lambda} u_\lambda \right) u_j^* = \sum_{\alpha=1}^n a_{j\alpha} \left( \sum_{\lambda=1}^n \beta_{i\lambda} u_\lambda \right) u_\alpha = \sum_{\alpha=1}^n a_{j\alpha} d_i u_\alpha = p \xi_{ij}.$$

Thus  $0 = pf(\xi_{11}, \dots, \xi_{mn}) = f(\beta_{11}, \dots, \beta_{mn})$ . So  $f$  vanishes on all substitutions from  $K$ , the infinite center of  $D$ . By a Vandermonde argument (e.g., see [4]) we may conclude  $f = 0$ . This proves that the  $\xi_{ij}$ 's are algebraically independent. □

Lemma 4 together with the remarks preceding it imply  $\phi$  maps  $R$  onto the polynomial ring  $D[\xi_{ij}]$ .

If  $a$  and  $b$  are elements of a ring, let  $[a, b] = ab - ba$ . Since  $\xi_{ij}$  maps into  $K$ ,  $[\xi_{ij}, \xi_{pq}] = 0$ . We also have  $\xi_{ij} - \zeta_{ij} = 0$ . Hence  $[v_{ij}, v_{pq}]$  and  $v_{ij} - w_{ij}$  are in  $\text{Ker } \phi$ . Let  $I$  denote the ideal of  $R$  generated by the set

$$\{[v_{ij}, v_{pq}], v_{ij} - w_{ij} \mid 1 \leq i, p \leq m, 1 \leq j, q \leq n\}.$$

LEMMA 5.  $I = \text{Ker } \phi$ .

PROOF. The above remark implies  $I \subseteq \text{Ker } \phi$ . Suppose  $f \in \text{Ker } \phi$ . Consider the following relations:

$$\begin{aligned} v_{ij}v_{pq} &= [v_{ij}, v_{pq}] + v_{pq}v_{ij}, \\ v_{ij}w_{pq} &= v_{ij}(w_{pq} - v_{pq}) + [v_{ij}, v_{pq}] + v_{pq}v_{ij}, \\ w_{ij}v_{pq} &= (w_{ij} - v_{ij})v_{pq} + [v_{ij}, v_{pq}] + v_{pq}v_{ij}, \\ w_{ij}w_{pq} &= (v_{ij} - w_{ij})(v_{pq} - w_{pq}) + v_{ij}w_{pq} + w_{ij}v_{pq} - v_{ij}v_{pq}. \end{aligned}$$

These relations allow us to write  $f = g + h$ , where  $g \in I$  and  $h$  is of the form  $h = \sum d_j v_{11}^{i_1} v_{12}^{i_2} \cdots v_{mn}^{i_m}$ ,  $d_j \in D$ .

Applying  $\phi$ , we have

$$0 = f\phi = h\phi = \sum d_j \xi_{11}^{i_1} \xi_{12}^{i_2} \cdots \xi_{mn}^{i_m}.$$

By Lemma 4, each  $d_j = 0$ . Hence  $h = 0$  and  $f = g \in I$ , proving  $\text{Ker } \phi = I$ . □

Since  $w_{ij} = v_{ij}^*$  we have

**THEOREM.** *Let  $D$  be a finite dimensional central simple algebra (of dimension  $n$ ) with involution  $*$  of the first kind over an infinite field  $K$ . Then the ideal (of  $R = D_K\langle x_1, \dots, x_m, z_1, \dots, z_m \rangle$ ) of  $*$ -GPI's of  $D$  is finitely generated. The generators are of the form  $[v_{ij}, v_{pq}], v_{ij} - v_{ij}^*$  ( $1 \leq i \leq m, 1 \leq j \leq n$ ) where the  $v_{ij}$ 's are first degree generalized polynomials and  $v_{ij}^*$  is the image of  $v_{ij}$  under  $*$  when extended to  $R$ .*

ACKNOWLEDGMENT

We would like to thank the referee for valuable suggestions.

## REFERENCES

1. S. A. Amitsur, *Generalized polynomial identities and pivotal monomials*, Trans. Am. Math. Soc. **114** (1965), 210–226.
2. P. M. Cohn, *Skew Field Constructions*, Cambridge University Press, 1977.
3. C. Procesi, *On the identities of Azumaya algebras*, in *Ring Theory* (R. Gordon, ed.), Academic Press, New York, 1973.
4. L. H. Rowen, *Polynomial Identities in Ring Theory*, Academic Press, New York, 1980.

DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF IOWA  
IOWA CITY, IA 52242 USA